

The Continuants of Transcendental Numbers in Continued Fraction Expansion

Dr. Shashi Bhushan Rai

Associate Professor, Department of Mathematics, B.N.College Patna , Bihar (India)

ARTICLE DETAILS

Article History

Published Online: 25 May 2019

Keywords

Transcendental numbers, Continued fraction, Hausdorff dimension, maximal.

ABSTRACT

In this paper, we want to explain that if a purely transcendental set is determined merely by the properties of the individual continuants, besides algebraic numbers, most transcendental numbers are excluded from this set. Namely, let φ be a positive function defined on \mathbb{N} and set

$$A(\varphi) = \{x \in [0,1) : q_n(x) \geq \varphi(n), \text{ infinitely many } n\}.$$

If $A(\varphi)$ is a purely transcendental set, then the set $A(\varphi)$ is of Hausdorff dimension at most one-half.

Let $q_n(\theta)$ be n -th continuant of θ in its continued fraction expansion. For any irrational $\theta \in [0,1)$.

Davenport and Roth showed that if θ satisfies

$$\log \log q_n > \frac{cn}{\sqrt{\log n}} \text{ for, infinitely many } n \in \mathbb{N}, \text{ for all } c > 0, \text{ then } \theta \text{ must be transcendental.}$$

We say a set A purely transcendental set, if all the elements in A are transcendental.

1. Introduction

Diophantine approximation is intimately connected with continued fractions in the sense that, for any irrational $\theta \in [0,1)$ if

$$\left| \theta - \frac{p}{q} \right| < \frac{1}{2q^2},$$

then $\frac{p}{q}$ must be a convergent of θ in its continued fraction expansion.

It seems that the first result concerning the properties of continuants of transcendental number or equivalently algebraic numbers is Liouville inequality [1], which shows that any algebraic number of degree d cannot be approximated by rational numbers at an order greater than d . Using this result, one has

Theorem 1.1 ([1]). Let θ be an irrational and $q_n(\theta)$ be the n -th continuants in its continued fraction expansion. If, for any c

$$\log \log q_n(\theta) \geq cn \text{ for infinitely many } n \in \mathbb{N} \dots\dots\dots (1.1)$$

then θ is transcendental.

According to an estimation on the number of solutions to the inequality

$$\left| \xi - \frac{p}{q} \right| < \frac{1}{2q^{2+\delta}} \dots\dots\dots (1.2)$$

when ξ is algebraic, Davenport and Roth [2] derived an improvement of (1.1). **Theorem 1.2** ([2]). Let θ be an irrational and $q_n(\theta)$ be the n -th continuants in its continued fraction expansion. If for any $c > 0$

$$\log \log q_n > \frac{cn}{\sqrt{\log n}} \text{ for, infinitely many } n \in \mathbb{N} \dots\dots\dots (1.3)$$

then θ is transcendental.

An qualitative improvement of the number of solutions to inequality

(1.2), which is given by Bombieri and van der Poorten [6], enable Adamczewski and Bugeaud [3] to obtain an improved one.

Theorem 1.3 ([3]). Let θ be an irrational and $q_n(\theta)$ be the n -th continuants in its continued fraction expansion. If for any $0 > c$,

$$\log \log q_n > cn^{\frac{2}{3}} (\log n)^{\frac{2}{3}} \quad \text{for infinitely many } n \in \mathbb{N} \quad \dots \dots \dots (1.4)$$

then θ must be transcendental.

We say a set A purely transcendental set, if all the elements in A are transcendental. In this paper, we intend to explain that if a purely transcendental set is characterized merely by precise properties of the individual continuants, besides algebraic numbers, most transcendental numbers are also excluded from this set.

Namely, let φ be a positive function defined on \mathbb{N} and set

$$A(\varphi) = \{x \in [0,1): q_n(x) \geq \varphi(n), \text{ infinitely many } n\},$$

We show that

Theorem 1.4. If $A(\varphi)$ is a purely transcendental set, then the set $A(\varphi)$ is of Hausdorff dimension at most one-half.

2. Preliminaries

We begin with some notations firstly. Let $x \in [0,1)$ be an irrational number and $[a_1(x), a_2(x), \dots \dots \dots]$ be its regular continued fraction expansion. For any $n \geq 1$ denote by

$$p_n(x)/q_n(x) := [a_1(x), a_2(x), \dots \dots \dots]$$

the n -th convergent of x . With the conventions that

$$p_{-1}(x) = 1, q_{-1}(x) = 0, p_0(x) = 0, q_0(x) = 1, \text{ one has [4]}$$

$$p_{n+1}(x) = a_{n+1}(x)p_n(x) + p_{n-1}(x), n \geq 0$$

$$q_{n+1}(x) = a_{n+1}(x)q_n(x) + q_{n-1}(x), n \geq 0 \quad \dots \dots \dots (2.1)$$

where $\{q_n\}_{n \geq 1}$ are commonly called the *continuants*.

For any $a_1, a_2, \dots \dots \dots a_n \in \mathbb{N}$, denote by $I_n(a_1, a_2, \dots \dots \dots, a_n)$ the n -th cylinder

$$I_n(a_1, a_2, \dots \dots \dots, a_n) = \{x \in [0,1) : a_1(x) = a_1, a_2(x) = a_2, \dots \dots \dots, a_n(x) = a_n\}.$$

Lemma 2.1 ([4]). For any $a_1, a_2, \dots \dots \dots a_n \in \mathbb{N}$,

$$|I_n(a_1, a_2, \dots \dots \dots, a_n)| = \frac{1}{q_n(q_n + q_{n+1})}, \prod_{j=1}^n a_j < q_n < \prod_{j=1}^n (a_j + 1)$$

where $| \cdot |$ denotes the length of a subset in $[0,1)$ and q_n, q_{n+1} are recursively defined by (2.1).

Let φ be a positive function on \mathbb{N} Set

$$E(\varphi) = \{x \in [0,1) : a_n(x) \geq \varphi(n) \text{ for infinitely many } n\}.$$

A complete result on the Hausdorff dimension of $E(\varphi)$ was given in [5], but only the needed part is cited here.

Lemma 2.2 ([5]). Write $b = \exp \left\{ \lim_{n \rightarrow \infty} \inf \frac{\log \log \varphi(n)}{n} \right\}$. If

$$\lim_{n \rightarrow \infty} \inf \frac{\log \varphi(n)}{n} = \infty$$

then $\dim_H E(\emptyset) = \frac{1}{b+1}$

Recall that

$A(\varphi) = \{x \in [0,1] : q_n(x) \geq \varphi(n) \text{ for infinitely many } n\}$.

Lemma 2.3. *If $A(\varphi)$ is a purely transcendental set, then*

$$\liminf_{n \rightarrow \infty} \frac{\log \varphi(n)}{n} = \infty$$

Proof:- For any integer $B \geq 1$ let $x_B = [B, B, \dots,]$

Lagrange's theorem asserts that x_B is quadratic irrational. Whence,

$\varphi(n) \geq q_n(x_B) \geq B^n$ for n ultimately .

3. Proof of Main Result

In this section, we give the exact Hausdorff dimension of the purely transcendental set $A(\varphi)$.

Lemma 3.1. *If $A(\varphi)$ is a purely transcendental set, then*

$$\dim_H A(\varphi) = \frac{1}{b+1} \text{ where } b = \exp \left\{ \liminf_{n \rightarrow \infty} \frac{\log \log \varphi(n)}{n} \right\}$$

Proof:- According to Lemma 2.3, the lower bound of $\dim_H A(\varphi)$ is a direct consequence of Lemma 2.2.

Now we turn to the upper bound. Two cases will be distinguished according as $b = 1$ or $b > 1$

(i) If $b = 1$, for any $t > 1$, we introduce a family of measures

$$\mu_t : \mu_t(I_n(a_1, a_2, \dots, a_n)) = e^{-np(t) - t \sum_{j=1}^n \log a_j} \dots \dots \dots 3.1$$

where $p(t) = \log \sum_{n=1}^{\infty} \frac{1}{n^t}$

Now, let $I(n)$ be the family of all n -th order cylinders $I(a_1, a_2, \dots, a_n)$

which satisfies $q_n \geq \varphi(n)$. Then,

$$A(\varphi) = \bigcap_{N=1}^{\infty} \bigcup_{n=N}^{\infty} \bigcup_{I_n(a_1, a_2, \dots, a_n) \in I(n)} I_n(a_1, a_2, \dots, a_n)$$

For each $N \geq 1$ we select all those cylinders in $\bigcup_{n=N}^{\infty} I(n)$ which are maximal

maximal $(I \in \bigcup_{n=N}^{\infty} I(n))$ is maximal if there is no other I' such that $I \subset I'$ and $I \neq I'$.

We denote by $J(N)$ the set of all maximal cylinders in $\bigcup_{n=N}^{\infty} I(n)$

It is evident that $J(N)$ is a cover of $A(\varphi)$ for any $N \geq 1$

Fix $t > 1$ and $\epsilon > 0$. Choose N_0 large enough such that for any

$n > N_0, \epsilon \log \varphi(n) \geq np(t)$.

Fix $N > N_0$. Then for any $I_n(a_1, a_2, \dots, a_n) \in J(N)$, we have

$$|I_n(a_1, a_2, \dots, a_n)|^{\frac{i+\epsilon}{2} \leq e^{-(i+\epsilon) \log q_n} \leq e^{-t \sum_{j=1}^n \log a_j - np(t)}} = \mu_t(I_n(a_1, a_2, \dots, a_n)) \leq 1$$

This implies $\dim A(\varphi) \leq \frac{1}{2} = \frac{1}{b+1}$

(ii) If $b > 1$, By the definition of b , one has, for any $\epsilon > 0$,

$$\prod_{j=1}^n e^{(b-\epsilon)^{j-1}} (b - \epsilon - 1) \leq \varphi(n), \text{ for } n \text{ ultimately.} \quad \dots\dots\dots 3.2$$

So, it follows

$$A(\varphi) \in \{x \in [0,1): a_n(x) \geq [e^{(b-\epsilon)^{n-1}} (b - \epsilon - 1)] - 1, \text{ i.o., } n\}$$

As a consequence of Lemma 2.2, one gets $\dim_H A(\varphi) \leq \frac{1}{b+1}$

References

- [1] Y. Bugeaud, Approximation by Algebraic Numbers, Cambridge Tracts Math. 160, Cambridge, 2004.
- [2] H. Davenport and K. F. Roth, Rational approximations to algebraic numbers, Mathematika 2 (1955), 160-167.
- [3] B. Adamczewski and Y. Bugeaud, On the Maillet-Baker continued fractions, J. Reine Angew. Math. 606 (2007), 105-121.
- [4] A. Ya. Khintchine, Continued Fractions, P. Noordhoff, Groningen, The Netherlands, 1963.
- [5] B. W. Wang and J. Wu, Hausdorff dimension of certain sets arising in continued fraction expansions, Adv. Math. 218 (2008), 1319-1339.
- [6] E. Bombieri and A. J. van der Poorten, Some quantitative results related to Roth's theorem, J. Austral. Math. Soc. Ser. A 45 (1988), 233-248.